

09/1615

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
IFT-5657

In Re Application of **James E. Kipp et al.**

Serial No.  
09/874,637

Filing Date  
June 5, 2001

Examiner  
Not yet assigned

Group Art Unit  
1615

Title: **MICROPRECIPITATION METHOD FOR PREPARING SUBMICRON SUSPENSIONS**

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

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## MICROPRECIPITATION METHOD FOR PREPARING SUBMICRON SUSPENSIONS

## Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

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I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Robert J. Buccieri

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Joseph A. Fuchs

Signature

Joseph A. Fuchs, Reg. 34,604

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Dated: 6/13/02

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Atty Dkt. No. PTO-D-5657  
BBL No.: 113957-234

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Patent Application Of: )  
James E. Kipp et al. )  
For: MICROPRECIPITATION )  
METHOD FOR PREPARING )  
SUBMICRON SUSPENSIONS )  
Serial No.: 09/874,637 )  
Filed: June 5, 2001 )  
Examiner: Not yet assigned )  
Art Unit: 1615 )  
Conf. No.: 5102 )

**CERTIFICATE OF MAILING**

I hereby certify that this paper is being deposited with the United States Postal Office with sufficient postage as first class mail in an envelope addressed to: BOX DD, Commissioner for Patents, Washington, D.C. 20231 on June 14, 2002.

  
Robert J. Buccieri

**INFORMATION DISCLOSURE STATEMENT**

BOX DD  
Commissioner for Patents  
Washington D.C., 20231

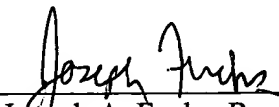
Sir:

Pursuant to 37 C.F.R. 1.97, a list of documents is disclosed on the attached form PTO-1449 that may be material to the examination of this application. A copy of each of the documents is enclosed herewith for the Examiner's consideration. No inference should be drawn that the attached list represents a comprehensive investigation of the prior art; that any or all are pertinent to the invention; or that any apparatus disclosed is equivalent to the subject invention.

The identified patents and documents do not suggest or make obvious the claimed invention. Hence, allowance of the pending application is respectfully requested.

Respectfully submitted,  
BELL, BOYD & LLOYD LLC

Date: June 13, 2002

BY   
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